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<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) of 1978)				<b>Docket No.</b> 14356Z	
In Re Application Of: Mikio ODA, et al.					
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/681,019	October 8, 2003	Unassigned	23389	2812	6178
Title: OPTICAL CIRCUIT IN WHICH FABRICATION IS EASY					
<p>Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p><b>OR</b></p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>					

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14356Z

In Re Application: Mikio ODA, et al.

JUL 16 2004

Application No.

Filing Date

Examiner

Customer No.

Group Art Unit

Confirmation No.

10/681,019

October 8, 2003

Unassigned

23389

2812

**OPTICAL CIRCUIT IN WHICH FABRICATION IS EASY**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013 SSMP as described below.
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Paul J. Esatto, Jr.

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Signature

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Dated: July 14, 2004

PJE:ae

cc:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Mikio Oda, et al.

**Examiner:** Unassigned

**Serial No:** 10/681,019

**Art Unit:** 2812

**Filed:** October 8, 2003

**Docket:** 14356Z

**For:** OPTICAL CIRCUIT  
IN WHICH FABRICATION IS EASY

**Dated:** July 14, 2004

**Confirmation No.** 6178

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following reference, which is also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. PCT International Publication No. WO 98/15676 published April 16, 1998 together with corresponding U.S. Patent 6,174,416 dated January 16, 2001.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 14, 2004.

Dated: July 14, 2004

  
\_\_\_\_\_  
Paul J. Esatto, Jr.

The reference was cited in an Official Action dated April 22, 2004 received from the Canadian Patent Office. Applicants are submitting a copy of the above-cited reference. The relevance of the reference is described in the Official Action. Please note that Japanese Laid Open Publication No. 2000-121967 dated April 18, 2000 also cited in the Canadian Office Action was previously cited in the Information Disclosure Statement filed October 8, 2003. Applicants are submitting a courtesy copy thereof.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits an Official Action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the Office Action issued in a foreign patent application on the same subject matter. However, no independent analysis of the Office Action, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the foreign examiner's comments submitted herewith.

The undersigned attorney hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign

patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

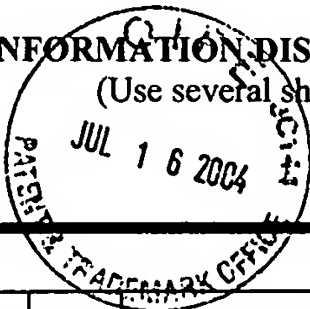
Respectfully submitted,



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**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)



Docket Number (Optional) 14356Z		Application Number 10/681,019	
Applicant(s) Mikio Oda, et al.			
Filing Date October 8, 2003		Group Art Unit 2812	

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,174,416	1/16/01	Magenau et al.			

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		WO98/15676	4/16/98	PCT				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.